

FinSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSÄ), we would like to supply you with this information sheet which provides an overview of Signet FFF Capital AG (hereinafter referred to as the «financial institution») and its services.

A. Company information

Address

Signet Capital Management AG
Bleicherweg 33
8002 Zürich
Phone: +41 43 305 06 50
E-Mail: zurich@signetglobal.com
Website: www.signetglobal.com

The financial institution was established in 2019.

Supervisory authority and audit firm

The financial institution has been licensed as a portfolio manager in accordance with the Swiss Financial Market Supervision Act (FinIA) since 2022 and is therefore subject to prudential supervision by the Swiss Financial Market Supervisory Authority FINMA. As part of this supervision, the financial institution is audited by the Audit Firm both in terms of regulatory law and obligations. The address of FINMA and the Audit Firm can be found below.

FINcontrol Suisse AG
General-Guisan-Strasse 6
6300 Zug
+41 41 767 36 00
info@fincontrol.ch
www.fincontrol.ch

KPMG AG
Badenerstrasse 172
8004 Zürich
+41 58 249 31 31
infozurich@kpmg.com
www.kpmg.com/ch

Ombudsman

The financial institution is affiliated with the independent ombudsman Finanzombudsstelle Schweiz (FINOS), which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the client and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of FINOS is stated below.

Finanzombudsstelle Schweiz (FINOS)
Talstrasse 20
8001 Zürich
+41 44 552 08 00
info@finos.ch
www.finos.ch

B. Information on the offered financial services

The financial institution provides portfolio management services, portfolio-based and transaction-based investment advisory services as well as execution-only services to its clients.

In the case of a transaction-based investment advisory mandate with the financial institution, a personal recommendation relating to individual financial instruments is provided to the client. The decision to buy or sell remains always ultimately with the client.

The financial institution also provides advisory services to collective investment schemes.

The financial institution does not guarantee any yield nor performance of investment activities. The investment activity can therefore lead to an appreciation or a depreciation in value.

C. Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail clients», «professional clients» and «institutional clients» segments. For each client, a client classification is determined within the framework of the cooperation with the financial institution. Subject to certain conditions, the client may change the client classification by opting out.

D. Information on risks and costs

General risks associated with financial instruments transactions

The investment advisory and portfolio management services involve financial risks. The financial institution shall provide all clients with the «Risks associated with Financial Instruments Transactions» brochure prior to the execution of the contract. This brochure can also be found at www.swissbanking.org.

Clients of the financial institution may contact their client advisor at any time if they have any further questions.

Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant investment advisory or portfolio management agreements.

In the case of investment advice, the financial institution shall provide its retail clients with the basic information sheet of the recommended financial instrument.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant investment advisory or portfolio management agreements.

E. Information about relationships with third parties

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective investment advisory and asset management agreements.

F. Information on the market offer considered

The financial institution basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments. The financial institution's own collective investments can – where appropriate – be used in the asset management mandates or recommended as part of investment advice.